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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Is re application of : **Mail Stop: ISSUE FEE**
Mutsuhito Kaine et al. : **Confirmation No. 2683**
Serial No. 09/889,373 : [Group Art Unit 2651
Filed October 16, 2001 : Examiner Fred Tzeng]

MAGNETIC RECORDING APPARATUS

SECOND RESPONSE TO ALLOWANCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Applicants hereby request that the Information Disclosure Statement (IDS) filed with the Patent Office on July 16, 2001 be considered by the Examiner. Applicants also request that the Examiner return an initialed copy of the form PTO-1449 which was filed as part of the Information Disclosure Statement of July 16, 2001. Enclosed are copies of the Information Disclosure Statement, form PTO-1449, International Search Report, references and dated-stamped postcard receipt as evidence of the filing of the IDS.

Respectfully submitted,

Mutsuhito Kaine et al.

By David M. Ovedovitz
David M. Ovedovitz
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February 2, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Mutsuhito KAINÉ et al.

: Attn: BOX PCT.

Serial No. NEW

: Docket No. 2001-0988A

Filed July 16, 2001

MAGNETIC RECORDING APPARATUS

[Corresponding to PCT/JP01/01616

Filed March 2, 2001]

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,
Washington, DC 20231

COPY

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

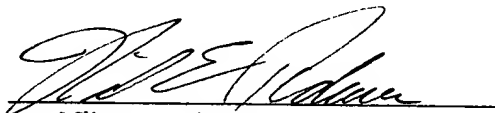
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Mutsuhito Kaine et al.

By



Nils E. Pedersen

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July 16, 2001

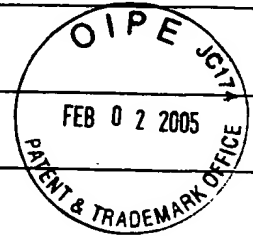
FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 16, 2001

ATTY DOCKET NO.
2001-0988ASERIAL NO.
NEWAPPLICANT
Mutsuhito KAINE et al.FILING DATE
July 16, 2001

GROUP



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,892,741					
	AB	6,009,054					
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	0753851	7/1996	EP				
	AK	11-175990	7/1999	JP				
	AL	3-252925	11/1991	JP				
	AM	1146039		CA				
	AN	9-161424		JP				
	AO	235912		KR				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AP

AQ

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



ATTY DOCKET # 2001-0988A

OUR REF: [2001-0988A/NEP/01489]

Due Date: July 16, 2001

Applicant Mutsuhito Kaine et al.

Sr. No. NEW Filing Date July 16, 2001

Title MAGNETIC RECORDING APPARATUS

09/889373

Receipt of the following papers is acknowledged:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 (National stage application of PCT/JP01/01616) (in duplicate)
2. Attachments: (A) An English translation of International Application including Specification comprised of 25 pages and 8 Sheets of Drawings (Figs. 1-8); (B) Unexecuted Declaration; (C) Information Disclosure Statement w/PTO-1449 and an International Search Report; (D) Preliminary Amendment w/markings to show changes made; and (E) PCT/IB/304.
3. Check in the amount of \$860.00

JC17 Rec'd PCT/PTO 16 JUL 2001

Date July 16, 2001

Attorney NEP/krl

[Check No. VS475]

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TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

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